



# U.S. DEPARTMENT OF HOMELAND SECURITY **OFFICE OF INSPECTOR GENERAL**

OIG-24-19

February 14, 2024

## **FINAL REPORT**

# **DHS Grants and Contracts Awarded by Any Means Other Than Full and Open Competition During Fiscal Year 2023**





# OFFICE OF INSPECTOR GENERAL

U.S. Department of Homeland Security

Washington, DC 20528 | [www.oig.dhs.gov](http://www.oig.dhs.gov)

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February 14, 2024

MEMORANDUM FOR: Paul Courtney  
Chief Procurement Officer  
Department of Homeland Security

FROM: Joseph V. Cuffari, Ph.D. **JOSEPH V CUFFARI**  
Inspector General

*Digitally signed by JOSEPH V CUFFARI  
Date: 2024.02.14 10:37:06 -05'00'*

SUBJECT: *DHS Grants and Contracts Awarded by Any Means Other Than Full and Open Competition During Fiscal Year 2023*

Attached is our final report, *DHS Grants and Contracts Awarded by Any Means Other Than Full and Open Competition During Fiscal Year 2023*. We contracted with RMA Associates, LLC (RMA) to perform this audit. RMA is responsible for the attached report, dated February 12, 2024, and the conclusions expressed in it. The Department provided technical comments on the draft report, and RMA took the components' suggested changes into consideration. RMA's report contains no recommendation.

Consistent with our responsibility under the *Inspector General Act*, we will provide copies of our report to congressional committees with oversight and appropriation responsibility over the Department of Homeland Security. We will post the report on our website for public dissemination.

Please contact me with any questions, or your staff may contact Kristen Bernard, Deputy Inspector General for Audits, at (202) 981-6000.

Attachment



# DHS OIG HIGHLIGHTS

## DHS Grants and Contracts Awarded by Any Means Other Than Full and Open Competition During Fiscal Year 2023

February 14, 2024

### Why We Did This Audit

On December 29, 2022, Congress enacted Public Law 117-328, *Consolidated Appropriations Act, 2023*. According to Division F, section 101(a), the Secretary of the Department of Homeland Security shall submit a report, not later than October 15, 2023, to the DHS Office of Inspector General listing all grants and contracts awarded by any means other than full and open competition (OTFOC) during fiscal year 2023. We contracted with RMA Associates, LLC to review the OTFOC grants and contracts to assess DHS compliance with applicable laws, regulations, and departmental procedures.

### What We Recommend

No recommendation was issued.

**For Further Information:**

Contact our Office of Public Affairs at (202) 981-6000, or email us at:

[DHS-OIG.OfficePublicAffairs@oig.dhs.gov](mailto:DHS-OIG.OfficePublicAffairs@oig.dhs.gov).

### What We Found

RMA Associates, LLC (RMA), under contract with the Department of Homeland Security Office of Inspector General, concluded that DHS complied with applicable statutes, regulations, and policies governing grants and contracts awarded by means other than full and open competition in fiscal year 2023. During that year, DHS awarded 28 noncompetitive grants worth approximately \$17 million and 410 noncompetitive contracts worth approximately \$589 million through means other than full and open competition.

RMA noted that DHS did not submit to the OIG a comprehensive report for FY 2023 contracts procured through means other than full and open competition by October 15<sup>th</sup>, as mandated in Public Law 117-328, *Consolidated Appropriations Act, 2023*. In response, DHS updated its procedures to strengthen its reporting controls to ensure complete and accurate reporting of non-competitive contracts. RMA determined that the revised procedures are sufficient to address the finding. As such, RMA did not issue a recommendation.

### DHS Response

The Department elected to forego providing a formal management response to the draft report. The Department provided technical comments on the draft report, and RMA took the components' suggested changes into consideration.

Department of Homeland Security (DHS)  
Office of Inspector General (OIG)

Audit of Grants and Contracts Awarded by Any Means  
Other than Full and Open Competition (OTFOC)

**Performance Audit Report**

Contract No: 70VT1523F00031

Date: February 12, 2024

**RMA Associates, LLC**

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Joseph V. Cuffari, PH.D.  
Inspector General  
U.S. Department of Homeland Security  
Office of Inspector General

February 12, 2024

Dr. Cuffari:

RMA Associates, LLC (RMA) performed an audit of DHS's Report on Grants and Contracts Awarded by Any Means Other Than Full and Open Competition during fiscal year 2023. RMA performed the audit under contract with the Department of Homeland Security (DHS), Office of Inspector General (OIG); specifically, in accordance with Task Order No. 70VT1523F00031, dated September 6, 2023. This report presents our audit results.

We conducted our audit in accordance with the applicable *Government Auditing Standards*, 2018 revision, Technical Update April 2021. The audit was a performance audit as defined by Chapter 8 of the Standards. As part of our guidance, we also utilized FAR 6.3 and 10 U.S.C. §3204(a).

We appreciate the opportunity to have conducted this audit. Results of the audit are found in the accompanying report.

Respectfully,

*RMA Associates*

RMA Associates, LLC

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## Introduction

This report presents the results of RMA Associates, LLC's (RMA) performance audit of grants and contracts awarded by any means other than full and open competition (OTFOC).

## Background

Grants and contracts awarded by any means OTFOC must comply with various statutory, Office of Management and Budget (OMB), Federal Acquisition Requirements (FAR), and agency policy requirements.

According to the *Competition in Contracting Act of 1984*, with limited exceptions, contracting officers are required to promote and provide for full and open competition in soliciting offers and awarding Federal Government contracts. The FAR codifies uniform policies for acquiring supplies and services by executive agencies and requires Federal agencies collect and report data to the Federal Procurement Data System – Next Generation (FPDS-NG). The government uses the data in FPDS-NG to measure and assess the impact of Federal procurement on the Nation's economy. This system is also used to record information on funds obligated and the extent of competition. The Office of Federal Procurement Policy requires that Federal agencies certify annually that the grants and contracts data entered in FPDS-NG is accurate and complete.

On September 26, 2006, the Congress enacted Public Law 109–282, *Federal Funding Accountability and Transparency Act of 2006* (FFATA). Section 2 of this law requires that OMB ensure the existence and operation of a single searchable website for all Federal grants and contracts. FFATA requires that entries be updated not later than 30 days after the award of any Federal award requiring a posting. Transparency requirements set in place by FFATA were later expanded through the passage of the *Digital Accountability and Transparency Act of 2014*, which established Federal standards requiring agencies to improve data quality, transparency, and accountability.

In December 2007, the website USAspending.gov was established to provide the U.S. public access to government contract, grant, and other award data. In June 2013, OMB issued the memorandum *Improving Data Quality for USAspending.gov* directing Federal agencies to improve data reliability and quality of the public-facing financial data. The policy requires that agencies establish unique identification numbers for financial awards and establish quarterly processes to validate the accuracy and completeness of system information against official agency records.

According to FAR 6.301, executive agencies (with exceptions)<sup>1</sup> may authorize, under certain conditions, contracting without providing for full and open competition. Contracting without providing for full and open competition or full and open competition after exclusion of sources is

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<sup>1</sup> The Department of Defense, Coast Guard, and National Aeronautics and Space Administration are subject to 10 U.S.C. §3204(a).

a violation of statute, unless permitted by one of the exceptions in FAR section 6.302. For example, contracts awarded by any means OTFOC are permitted when:

- Only one responsible source and no other supplies or services will satisfy agency requirements;
- The need for the supplies or services is of such an unusual and compelling urgency that the government would be seriously injured unless the agency is permitted to limit the number of sources from which it solicits bids or proposals;
- It is necessary to award the contract to a particular source or sources in order to maintain a facility, producer, manufacturer, or other supplier available for furnishing supplies or services in case of a national emergency or to achieve industrial mobilization;
- It is necessary to establish or maintain an essential engineering, research, or development capability to be provided by an educational or other nonprofit institution or a federally funded research and development center;
- Precluded by the terms of an international agreement or a treaty between the United States and a foreign government or international organization;
- A statute expressly authorizes or requires that the acquisition be made through another agency or from a specified source;
- The disclosure of the agency's needs would compromise the national security; and
- When the agency head determines that it is not in the public interest in the particular acquisition concerned.

Contracts awarded under FAR 6.301 must also contain a reference to the specific authority under which it was so awarded. They cannot be justified because of a lack of advance planning by the requiring activity or concerns related to the amount of funds available (e.g., funds will expire) to the agency or activity for the acquisition of supplies or services.

FAR 6.303 does not allow contracting officers to commence negotiations for a sole source contract, commence negotiations for a contract resulting from an unsolicited proposal, or award any other contract without providing for full and open competition unless the contracting officer: (1) justifies the use of such actions in writing; (2) certifies the accuracy and completeness of the justification; and (3) obtains the approval required by FAR 6.304.

On December 29, 2022, the Congress enacted Public Law 117-328, *Consolidated Appropriations Act, 2023*. Division F, §101(a), of the law requires that the Secretary of Homeland Security submit an annual report to DHS OIG listing all grants and contracts awarded by any means OTFOC during fiscal year (FY) 2023 no later than October 15, 2023. §101(b) requires that the DHS OIG review the report and assess Departmental compliance with applicable laws and regulations and report the results of that review to the Committees on Appropriations of the Senate and the House of Representatives not later than February 15, 2024.



## Results of Audit

RMA found that DHS successfully adhered to applicable laws and regulations in the awarding and adjudication of OTFOC contracts, verifying that non-competitively awarded grants and contracts were in accordance with regulatory standards. However, RMA noted that DHS did not submit a comprehensive report for FY 2023 contracts procured by any means OTFOC by the specified October 15<sup>th</sup> deadline.

### DHS Followed Processes to Compare and Report the Accuracy of Spending Information

RMA reviewed each of DHS's Quarterly FY 2023 *Digital Accountability and Transparency Act of 2014* certifications to confirm the existence and use of processes to compare and report the accuracy of spending information against the official record of agency accounts at quarterly intervals. Each of these reports were signed by the Senior Accountable Official who confirmed that the existing controls supported the reliability and validity of the agency's reported data.

### DHS Reporting of OTFOC Contracts Awarded in FY 2023 was Generally Accurate

DHS issued 410 OTFOC contracts during FY 2023 totaling \$589,260,009.87 in obligated awards. RMA tested 45 OTFOC contracts totaling \$135,696,759.16 for compliance with FAR 6.301-6.304 and 10 U.S.C. §3204(a). RMA first evaluated DHS policies to ensure that requirements found in these FAR sections had been appropriately translated into policies and noted no exceptions. RMA also verified that key data points in FPDS-NG (e.g., PII, award date, OTFOC authority, obligated amount, contracting agency) matched the sample contract documents. While we concluded that DHS's reporting was generally accurate, we did note two instances of minor noncompliance.

Two contracts were labeled in FPDS-NG as having been awarded under OTFOC authority. In reviewing the contracting documents, RMA determined that this reporting was inaccurate and that the contracts had been competed following Simplified Acquisition Procedures (SAP) and were under that authority. The responsible contracting office updated the FPDS-NG entry during the course of the audit. As such, RMA did not issue a recommendation.

We then reviewed the various contracting documents, including contract awards, market research, and justifications to ensure compliance with their internal policies and FAR requirements. RMA did not include the two contracts that were incorrectly labeled in FPDS-NG as their contracting process followed SAP procedures rather than OTFOC procedures. RMA noted no exceptions.

### DHS Reporting of OTFOC Grants Awarded in FY 2023 was Accurate

DHS issued 30 OTFOC grants during FY 2023 totaling \$17,420,770. The Office of the Chief Financial Officer's Financial Assistance Policy and Oversight Division defines full and open competition as "[a] selection process that is open to the broadest class of applicants permitted by a program's authorizing statute that uses selection criteria that are consistent with any statutorily established requirements." Each of the grants in the annual report were awarded as part of the Countering Weapons of Mass Destruction's BioWatch Program. RMA reviewed the Notice of Funding Opportunity for the BioWatch program and confirmed its inclusion in the list of OTFOC

grants. Additionally, RMA reviewed the annual report provided by DHS and compared key data points, including the award number, award date, and obligated amount to the information captured in FPDS-NG. RMA noted no exceptions in these tests.

### Annual Report Provided to OIG Did Not Contain All OTFOC Contracts

The annual OTFOC report provided to DHS OIG on October 17, 2023, did not contain all OTFOC contracts awarded during FY 2023. In testing for the completeness and accuracy of this report, RMA found a difference of 14 contracts between the list generated during our testing using FPDS-NG and the original report provided by DHS to OIG. Fifteen awards appeared on RMA’s list, but not on the report provided by DHS; while one award appeared on the DHS report, but not on RMA’s list.

**Table 1** outlines the difference in contract number and award amount.

*Table 1: Comparison of DHS Annual Report and FPDS-NG Listing*

| Component                                 | DHS Annual Report   |                         | RMA’s List          |                         |
|---|---------------------|-------------------------|---------------------|-------------------------|
|   | Number of Contracts | Obligated Amount        | Number of Contracts | Obligated Amount        |
| Federal Emergency Management Agency       | 37                  | \$23,661,092.05         | 38                  | \$25,263,444.25         |
| Federal Law Enforcement Training Centers  | 14                  | \$11,183,435.63         | 14                  | \$11,183,435.63         |
| Office of Procurement Operations          | 31                  | \$37,226,290.64         | 31                  | \$37,226,290.64         |
| Transportation Security Administration    | 25                  | \$15,675,205.25         | 26                  | \$17,651,777.69         |
| U.S. Citizenship and Immigration Services | 12                  | \$22,487,346.46         | 12                  | \$22,487,346.46         |
| U.S. Customs and Border Protection        | 84                  | \$233,634,336.29        | 93                  | \$287,957,966.37        |
| U.S. Immigration and Customs Enforcement  | 36                  | \$20,883,802.73         | 36                  | \$20,883,802.73         |
| U.S. Secret Service                       | 27                  | \$18,358,735.58         | 28                  | \$18,470,054.65         |
| U.S. Coast Guard                          | 130                 | \$147,171,191.58        | 132                 | \$148,135,891.45        |
| <b>Total</b>                              | <b>396</b>          | <b>\$530,281,436.21</b> | <b>410</b>          | <b>\$589,260,009.87</b> |

*Table 2: Discrepancies between DHS Annual Report and FPDS-NG Listing*

| Report              | Number of Contracts | Total Obligated Amount |
|---------------------|---------------------|------------------------|
| DHS Annual Report   | 396                 | \$530,281,436.21       |
| RMA’s List          | 410                 | \$589,260,009.87       |
| <b>Misstatement</b> | <b>14</b>           | <b>\$58,978,573.66</b> |

Public Law 117-328, *Consolidated Appropriations Act, 2023*, Division F, Title I, §101(a) and §101(b) require that:

*(a) The Secretary of Homeland Security shall submit a report not later than October 15, 2023, to the Inspector General of the Department of Homeland Security listing all grants and contracts awarded by any means other than full and open competition during fiscal years 2022 or 2023.*

*(b) The Inspector General shall review the report required by subsection (a) to assess departmental compliance with applicable laws and regulations and report the results of that review to the Committees on Appropriations of the Senate and the House of Representatives not later than February 15, 2024.*

Additionally, FAR sections 4.604(b)(2) and 4.604(b)(3) establish the timeliness standards for uploading contract action reports (CAR) to FPDS-NG.

*(2) The CAR must be confirmed for accuracy by the contracting officer prior to release of the contract award. The CAR must then be completed in FPDS within three business days after contract award.*

*(3) For any action awarded in accordance with FAR 6.302-2 or pursuant to any of the authorities listed at subpart 18.2, the CAR must be completed in FPDS within 30 days after contract award.*

DHS reported that the missing contracts did not appear in the annual report as they were not posted to FPDS-NG within the timelines established by the FAR. They stated that the discrepancy was due to extenuating circumstances, specifically network issues. DHS stressed that the responsible contracting officers posted the information as soon as possible. RMA confirmed that the missing contracts were subsequently posted to FPDS-NG.

In the case of the one contract not on RMA's list but on the report from DHS, DHS stated, and RMA confirmed, that the entry was subsequently deleted from FPDS-NG as it had been posted incorrectly and had since been reposted correctly.

As a result, the report DHS provided OIG did not comply with the statutory requirements outlined in Public Law 117-328, *Consolidated Appropriations Act, 2023*, Division F, Title I, §101(a).

In response, DHS updated its procedure for generating the annual report during the course of the audit. RMA reviewed and determined that the revised procedure was sufficient to address the finding. As such, RMA did not issue a recommendation.

## Appendix I: Objectives, Scope, and Methodology

### Objectives

The objective was to audit DHS's programs and activities to assess its compliance with applicable statutes, regulations, and policies governing grants and contracts awarded by any means OTFOC.

Specifically, RMA tested whether DHS:

1. Provided to OIG an annual report detailing all grants and contracts awarded by any means OTFOC;
2. Ensured all contracts awarded by any means OTFOC were properly recorded in FPDS-NG;
3. Ensured all grants and contracts awarded by any means OTFOC were properly recorded in the USAspending.gov data system;
4. Confirmed the existence and use of processes to compare and report the accuracy of spending information against the official record of agency accounts at quarterly intervals; and
5. Ensured all agency contracts awarded by any means OTFOC were in full compliance with requirements found in the following FAR sections and 10 U.S.C. §3204(a):<sup>2</sup>
  - a. FAR 6.301 – Policy
  - b. FAR 6.302 – Circumstances Permitting Other than Full and Open Competition
  - c. FAR 6.303 – Justifications
  - d. FAR 6.304 – Approval of Justifications.

### Scope

The scope of the audit was all grants and contracts awarded by any means OTFOC (non-competitive) during FY 2023.

### Methodology

RMA used the following criteria for this audit:

- *Government Auditing Standards*, 2018 Revision, Technical Update April 2021, issued by the Comptroller General of the United States (GAO-21-368G);
- FAR 6.301 – Policy;
- FAR 6.302 – Circumstances Permitting Other Than Full and Open Competition;
- FAR 6.303 – Justifications;
- FAR 6.304 – Approval of the Justification;
- 10 U.S.C. §3204(a) – For U.S. Coast Guard Contracts;
- Public Law 117-328 – *Consolidated Appropriations Act, 2023*;
- Homeland Security Acquisition Regulations;
- Homeland Security Acquisition Manual; and

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<sup>2</sup> Prior to January 2022, the requirement in 10 U.S.C. §3204(a) was found in 10 U.S.C. §2304(c). This is the authority under which the U.S. Coast Guard awards OTFOC contracts.

- DHS Justification and Approval Guide.

To address and accomplish the audit objectives, we followed these key steps:

- Reviewed existing internal policies and procedures to confirm compliance with the appropriate federal regulations concerning OTFOC grants and contracts;
- Reviewed the DHS FY 2023 report provided by the Under Secretary for Management to develop a complete list of grants and contracts for testing;
- Extracted report of all OTFOC non-competitive grants and contracts using the documented procedure to validate the completeness and accuracy of DHS's annual report of grants and contracts;
- Conducted a comparative analysis of key data elements between DHS contract files, USASpending.gov, and FPDS-NG to verify reporting accuracy; and
- Evaluated 43<sup>3</sup> contracts from various DHS components to test for compliance with requirements found in the FAR and 10 U.S.C. §3204(a), the authority under which U.S. Coast Guard contracts are awarded.

RMA employed a probability proportional to size sampling method for a comprehensive and representative sample of contracts awarded by any means OTFOC. Using the Monetary Unit Sampling (MUS) tool within IDEA software, this allowed contracts with higher monetary values to have a greater chance of selection for the sample. We began the sampling process by defining the universe of 396 contracts, totaling \$530,281,436.21.<sup>4</sup> To achieve a 96% confidence level and 10% precision, the initial calculated sample size was 30 contracts. An additional random sample of 15 contracts was selected to achieve a sample size of 45 and further enhance the statistical validity. The total obligated amount of the 45 sampled contracts was \$135,696,759.16.

We conducted this performance audit in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.

We conducted our fieldwork at RMA headquarters in Arlington, Virginia from October 2023 through January 2024.

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<sup>3</sup> Our initial sample was 45 contracts, but RMA only completed testing for 43 as 2 of the sample contracts were mislabeled and had been issued under simplified acquisition procedures.

<sup>4</sup> Our sample was generated using the annual report provided by DHS prior to determining that it was not a comprehensive list. Therefore, our universe was 396 contracts rather than 410.



## Appendix II: Report of Grants and Contracts Awarded by Any Means Other than Full and Open Competition during Fiscal Year 2023

U.S. Department of Homeland Security  
Washington, DC 20528



MEMORANDUM FOR: Joseph V. Cuffari  
Inspector General

FROM: Stacy Marcott  
Acting Chief Financial Officer

STACY A  
MARCOTT

Digitally signed by STACY A MARCOTT  
Date: 2023.10.17  
15:56:50 -04'00'

SUBJECT: Report of Grants and Contracts Awarded by Any Means Other than Full and Open Competition during Fiscal Year 2023

The attached file constitutes the Department of Homeland Security (DHS), Office of Chief Financial Officer's (OCFO) report to the Department's Inspector General listing of all grants awarded by any means other than full and open competition (OTFOC) during fiscal year (FY) 2023.

### Grants

Public Law 117-328, Division F, Title I, Section 101 (a), requires that "The Secretary of Homeland Security shall submit a report not later than October 15, 2023, to the Inspector General of the Department of Homeland Security (DHS) listing all grants and contracts awarded by any means other than full and open competition during FYs 2022 or 2023." The ambiguity of the phrase "awarded by any means other than full and open competition" as it pertains to grants had been a topic of discussion during audits performed by the Inspector General to assess DHS compliance with applicable laws and regulations.

The Office of the Chief Financial Officer's Financial Assistance Police and Oversight Division (FAPO) consulted with the DHS Office of General Counsel (OGC) and Components to define competition for the annual OTFOC report. To prepare this OTFOC report for grants, full and open competition has been defined as:

*A selection process that is open to the broadest class of applicants permitted by a program's authorizing statute that uses selection criteria that are consistent with any statutorily established requirements.*

Following OIG 21-17 Recommendation 2, FAPO developed written procedures for generating the required report to sufficiently identify, review, and validate non-competitive grant award information. FAPO followed the standard operating procedure that created a consistent methodology to identify, review, and validate reportable awards for the annual OTFOC report.

The table below summarizes the attached grants data. (See Attachment A: OTFOC Grants Data 97.091)

Grants and Contracts Awarded by Any Means Other Than Full and Open Competition  
 Page 2

| Component   | FY 2022 (as of 10/18/22) | FY 2023 (as of 10/16/23) |
|---|--------------------------|--------------------------|
|   | Total Amount             | Total Amount             |
| Countering Weapons of Mass Destruction (CWMD)<br>97.091: Biowatch | \$31,085,003             | \$17,420,770             |

The Grants and Financial Assistance Division (GFAD) of DHS Office of the Chief Procurement Officer (OCPO) is the source of the CWMD information. Please direct any follow-up inquiries to Ms. Marsha Mathis, [Marsha.Mathis@hq.dhs.gov](mailto:Marsha.Mathis@hq.dhs.gov), (202) 525-7901.

**Contracts**

Data on contracts awarded by DHS by any means other than full and open competition during FY 2023 comes from the Federal Procurement Data System-Next Generation (FPDS-NG) database. Please direct any contract inquiries to Ms. Pamela Campbell, [Pamela.Campbell@hq.dhs.gov](mailto:Pamela.Campbell@hq.dhs.gov); (202) 577-9435.

Attachment:

- A. OTFOC FY 2023 Grants Data 97.091

**Appendix II-A: Attachment to the Department’s Report – Fiscal Year 2022**

| <b>Award Number</b> | <b>Federal Award Amount</b> | <b>Date Funds Awarded</b> | <b>Fiscal Year</b> |
|---------------------|-----------------------------|---------------------------|--------------------|
| 2006ST091000001     | \$1,682,838                 | 05/23/2022                | 2022               |
| 2014OH091000031     | \$1,072,949                 | 05/23/2022                | 2022               |
| 2006ST091000007     | \$438,376                   | 05/01/2022                | 2022               |
| 2016OH091000034     | \$353,193                   | 05/23/2022                | 2022               |
| 2006ST091000013     | \$2,100,000                 | 05/23/2022                | 2022               |
| 2006ST091000016     | \$196,521                   | 05/01/2022                | 2022               |
| 2006ST091000008     | \$477,478                   | 05/01/2022                | 2022               |
| 2006ST091000026     | \$2,694,448                 | 06/01/2022                | 2022               |
| 2006ST091000015     | \$354,358                   | 05/01/2022                | 2022               |
| 2006ST091000009     | \$4,325,356                 | 06/01/2022                | 2022               |
| 2006ST091000017     | \$636,564                   | 05/01/2022                | 2022               |
| 2013OH091000030     | \$1,277,096                 | 05/23/2022                | 2022               |
| 2006ST091000012     | \$429,064                   | 05/01/2022                | 2022               |
| 2006ST091000024     | \$1,964,023                 | 05/23/2022                | 2022               |
| 2006ST091000006     | \$511,124                   | 05/01/2022                | 2022               |
| 2006ST091000018     | \$486,549                   | 05/01/2022                | 2022               |
| 2006ST091000025     | \$1,280,509                 | 05/23/2022                | 2022               |
| 2011OH091000028     | \$1,649,499                 | 05/23/2022                | 2022               |
| 2012OH091000029     | \$620,491                   | 06/01/2022                | 2022               |
| 2006ST091000004     | \$416,406                   | 05/01/2022                | 2022               |
| 2006ST091000010     | \$1,454,157                 | 05/23/2022                | 2022               |
| 2006ST091000003     | \$677,877                   | 05/01/2022                | 2022               |
| 2015OH091000032     | \$372,000                   | 06/01/2022                | 2022               |
| 2006ST091000019     | \$597,646                   | 05/01/2022                | 2022               |
| 2006ST091000022     | \$429,198                   | 05/01/2022                | 2022               |
| 2006ST091000014     | \$276,422                   | 05/01/2022                | 2022               |
| 2006ST091000020     | \$345,151                   | 05/23/2022                | 2022               |
| 2006ST091000002     | \$1,396,611                 | 05/23/2022                | 2022               |
| 2006ST091000023     | \$250,000                   | 05/23/2022                | 2022               |
| 2015OH091000033     | \$2,319,099                 | 05/23/2022                | 2022               |
| <b>Total</b>        | <b>\$31,085,003</b>         |                           |                    |



**Appendix II-B: Attachment to the Department’s Report – Fiscal Year 2023**

| Award Number    | Federal Award Amount | Date Funds Awarded | Fiscal Year |
|-----------------|----------------------|--------------------|-------------|
| 2016OH091000034 | \$278,601            | 05/30/2023         | 2023        |
| 2015OH091000033 | \$0                  | 05/30/2023         | 2023        |
| 2015OH091000032 | \$253,097            | 05/30/2023         | 2023        |
| 2014OH091000031 | \$793,705            | 05/30/2023         | 2023        |
| 2013OH091000030 | \$1,477,204          | 05/30/2023         | 2023        |
| 2012OH091000029 | \$386,719            | 05/30/2023         | 2023        |
| 2011OH091000028 | \$1,893,747          | 05/30/2023         | 2023        |
| 2006ST091000026 | \$632,960            | 05/30/2023         | 2023        |
| 2006ST091000025 | \$982,707            | 05/30/2023         | 2023        |
| 2006ST091000024 | \$1,521,066          | 05/30/2023         | 2023        |
| 2006ST091000023 | \$284,208            | 05/30/2023         | 2023        |
| 2006ST091000022 | \$320,588            | 05/30/2023         | 2023        |
| 2006ST091000020 | \$174,689            | 05/30/2023         | 2023        |
| 2006ST091000019 | \$423,130            | 05/30/2023         | 2023        |
| 2006ST091000018 | \$489,824            | 05/30/2023         | 2023        |
| 2006ST091000017 | \$554,305            | 05/30/2023         | 2023        |
| 2006ST091000016 | \$145,111            | 05/30/2023         | 2023        |
| 2006ST091000015 | \$233,395            | 05/30/2023         | 2023        |
| 2006ST091000014 | \$223,548            | 05/30/2023         | 2023        |
| 2006ST091000013 | \$0                  | 05/30/2023         | 2023        |
| 2006ST091000012 | \$263,378            | 05/30/2023         | 2023        |
| 2006ST091000010 | \$769,716            | 05/30/2023         | 2023        |
| 2006ST091000009 | \$0                  | 05/30/2023         | 2023        |
| 2006ST091000008 | \$503,544            | 05/30/2023         | 2023        |
| 2006ST091000007 | \$36,531             | 05/24/2023         | 2023        |
| 2006ST091000007 | \$422,521            | 05/30/2023         | 2023        |
| 2006ST091000006 | \$421,030            | 05/30/2023         | 2023        |
| 2006ST091000004 | \$345,618            | 05/30/2023         | 2023        |
| 2006ST091000003 | \$784,429            | 05/30/2023         | 2023        |
| 2006ST091000002 | \$1,288,594          | 05/30/2023         | 2023        |
| 2006ST091000001 | \$1,516,805          | 05/30/2023         | 2023        |
| 2006ST091000003 | \$0                  | 03/29/2023         | 2023        |
| <b>Total</b>    | <b>\$17,420,770</b>  |                    |             |

Please note: This report includes all transactions in FY 2023 for 97.091. There were 30 grants awarded. Some awards had multiple transactions.



## OFFICE OF INSPECTOR GENERAL

*U.S. Department of Homeland Security*

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### Appendix III: Report Distribution

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